



1P 2654/8  
Attorney Docket Number: PHO 99003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Art Unit: 2654  
Bennett )  
Serial No.: 09/439,174 ) Examiner: Martin Lerner  
Filed: 11/12/99 )  
For: *Internet Server with Speech Support* )  
*for Enhanced Interactivity* )

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97 (C) (2)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed for the Examiner's convenience. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.
2. Pursuant to 37 C.F.R. 1.97(c), this information disclosure statement is being filed before the mailing date of any final action under Sec. 1.113, a notice of allowance under Sec. 1.311, or an action that otherwise closes prosecution in the application and is accompanied by the fee set forth in Sec. 1.17(p).

11/14/2005 DEMAND 11 00000003 501244  
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01 FC:1806  
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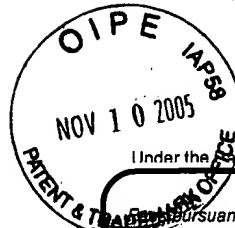
Respectfully submitted,



J. Nicholas Gross, Attorney at Law  
Registration No. 34,175  
Attorney for Applicant(s)

November 8, 2005  
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I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 this 8<sup>th</sup> day of November 2005.



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Effective on 12/08/2004.

Sustained to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)

180

## Complete if Known

Application Number	09/439,174
Filing Date	11/12/1999
First Named Inventor	Bennett
Examiner Name	Martin Lerner
Art Unit	2654
Attorney Docket No.	PHO 99003

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: 501244 Deposit Account Name: J. Nicholas Gross

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments  
 under 37 CFR 1.16 and 1.17

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## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

#### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity	Fee (\$)
- 20 or HP =	x	=		50	25

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)
- 3 or HP =	x	=		200	100

HP = highest number of independent claims paid for, if greater than 3.

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

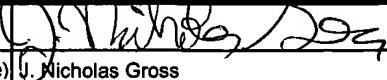
### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Submission of an Information Disclosure Statement (1.17P)

180

## SUBMITTED BY

Signature		Registration No. 34,175 (Attorney/Agent)	Telephone 510-540-6300
Name (Print/Type)	J. Nicholas Gross		Date November 8, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# Information Disclosure Statement By Applicant

Substitute for form 1449/PTO

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Application Number: 09/439,174

Filing Date: 11/12/1999

First Named Inventor: **Bennett**

Art Unit: 2654

Examiner Name: **Martin Lerner**

Attorney Docket Number: **PHO 99-003**

Examiner Initials	Other Publications
	COX, Richard V. et al., "Speech and Language Processing for Next-Millennium Communications Services," <i>Proceedings of the IEEE</i> , Vol. 88, No. 8, August 2000, pp. 1314-1337.
	KUHN, Roland, and Renato De Mori, "The Application of Semantic Classification Trees to Natural Language Understanding," <i>IEEE TRANSACTIONS ON PATTERN ANALYSIS AND MACHINE INTELLIGENCE</i> , VOL. 17, NO. 5, MAY 1995, pp. 449-460.
	Unisys Corp., "Natural Language Speech Assistant (NLSA) Capabilities Overview," NLR 3.0, August 1998, Malvern, PA, 27 pages.

Examiner  
Signature:

Date  
Considered: